

6(&7,21 , (03/2<(5

(LWKHU WKH HPSOR\HH RU WKH HPSOR\HU PD\ FRPSOHWH 6HFWLRQ , :KLOH XVH RI W
LQIRUPDWLRQ QHFHVVDU\ IRU D FRPSOHWH DQG VXIILFLHQW PHGLFDORXPHWLQJFDWDLV
HPSOR\HH WR SURYLGH PRUH LQIRUPDWLRQ WKDQ DOORZHG XQGHU WKH 6HFWLRQDOO
QRWHTXHVW D FHUWLILFDWLRQ IRU)0/\$ OHDYH WR ERQG ZLWK D KHDOWK\ QHZERUQFK
(PSOR\HUV PXVW JHQHUDOO\ PDLQWDLQ UHFRUGV DQG GRFXPHQWV UHODWLQJ WR PH
KLVWRULHV RI HPSOR\HHV FUHDWHG IRU)0/\$ SXUSRVHV DV FRQILGHQWLDO PHGLFDO
LQ DFFRUGDQFH ZLWK &) 5 † F LI WKH \$PHULFDQV ZLWK 'LVDELOLWLHV \$
*HQHWLF ,QIRUPDWLRQ 1RQGLVFULPLQDWLRQ \$FW DSSOLHV

0XVW DOORZ DW OHDVW FDXHQWDO GD\CHVRLWKH QBWHHDVLEOH GHVSLWH WKH
(PSOR\HH V MRE WLWOH -RE GHVFULSWLRQQRWWDFK
(PSOR\HH†V UHJXODU ZRUN VFKHGXOH
6WDWHPIHQW

W LQIRUPDWLRQ FRPSOHWH DOO UHOHYDQW SDUWV RI WKLV 6HFWLRQ DQG VLJQ WKH IR
HPSOR\HU WR UHTXLUH WKDW WKH HPSOR\HH VXEPLW D WLPHO\ FRPSOHWH DQG VXIILFL
H WR WKH VHULRXV KHDOWK FRQGLWLRQ RI WKH HPSOR\HH)RU)0/\$ SXUSRVHV D³VHUL
D FRQGLWLRQSWKHDWRQDOO\QJ FDXWDFWV FDUH SURYLGHU)RU
GHILQLWLRQV RI D VHULRXV KHDOWK FRQGLWLRQ XQGHU WKH)0/\$ VHH WKH FKDUW RQ S
LGH RWKHU DSSURSULDWH PHGLFDO IDFWV LQFOXGLQJ V\PSWRPV GLDJQRVLV RU DQ\ UI
RI VSHFLDOLJHG HTXLSPHQW 3OHDVH QRWH WKDW VRPH VWDWH RU ORFDO ODZV PD\ Q
HQW†V VHULRXV KHDOWK FRQGLWLRQ VXFK DV SURYLGLQJ WKH GLDJQRVLV DQG RU FRXU

7KHSDWQZHQSSPDAHPLUPARCOAIDWGRDQNWQRSECEISROVUOLPQZAGYRWB@vI|pEpDFQOS?6p...•0€WUYgfp

, I QHHGHG EULHIO\ GHVFULEH RWKHU ~~WDSXHQ~~ ~~UWDWE~~ ~~PHGRUF~~ ~~ZOXUD~~ ~~FKWK~~ ~~HHO~~ ~~PSOR~~ ~~GH~~ ~~VH~~
 R QHEXOLJHU GLDO\VLV

)RU WKH PHGLFDO FRQGLWLRQ V FKHFNHG LQ 3DUW \$ FRPSOHWH DOO WKDW DSSO\ (FRQGLWLRQ WUHDWPHQW HWFDEH RU WUHDWPHQW WKH FRQGLFDO NQRZOHGJH H[SHSDWLHQW %H DV VSHFLILF DV \RX FDQ WHUPV VXFK DV ³OLIH\WLPH ³XQNQRZQ ³RU ³

'XHW R\KH FRQGLWLRQ Z\KH S\WLOLQH W\RG K\HU KHDOWK FDRH S\URX\GDWHURV PHQW V
6WDWH W\RHV\DM W\UH DWP HQWV H J FDUGLRORJLVW SK\VLFD O WKHUDS\

3URYLG\ERH W\UH V\W\MP\H\H J L Q Q L Q J GDWH PP GG \\\RQG HQG GDWH PP GG \\\

IRU WKH WUHDWPHQW V

3URLGH ERH W\UH V\W\MP\H\H XUDWLRQH

À DQV DÀ

